



TITLE: COMPLIANCE – NON-RETALIATION FOR REPORTS

PURPOSE:

To establish a standard for Western CT Health Network ("WCHN") that encourages employees to report potential noncompliance and to prohibit retribution of any kind in response to their reporting of compliance concerns.

POLICY:

WCHN employees and affiliates are prohibited from retaliating or seeking retribution in any way in response to reports of compliance concerns.

PROCEDURE:

Should a WCHN employee receive retribution or retaliatory measures in response to reports made of potential compliance concerns, he/she should report these concerns to the Corporate Compliance Officer, Chief Operating Officer, Vice President of Human Resources, or Chief Executive Officer. Reports of potential retribution shall be documented and retained by the Corporate Compliance Officer or legal counsel, as appropriate. In addition, the WCHN Disciplinary Policy shall be adhered to in instances where retribution can be substantiated.

ORIGINATOR

DATE OF INCEPTION

REVISION DATE

SCHEDULED REVISION DATE

LATEST REVIEW DATE

APPROVED BY

CORPORATE COMPLIANCE

3/22/01

9/07, 12/11, 02/15, 07/15

12/18

9/27/2018

EXECUTIVE LEADER